## DT02 Rec'd PCT/PTO 0 7 MAR 2005

PTO-1390 (Rev. 02-2005)
Approved for use through 03/31/2007. OMB 0651-0021
U. S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE
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1:2

TRANSMITTAL LETTER TO THE UNITED STATES	ATTORNEY'S DOCKET NUMBER								
DESIGNATED/ELECTED OFFICE (DO/EO/US)	09600-00029-US U.S. APPLICATION NO. (If known, see 37 CFR 1.5)								
CONCERNING A SUBMISSION UNDER 35 U.S.C. 371	19/527178								
INTERNATIONAL APPLICATION NO. INTERNATIONAL FILING DATE	PRIORITY DATE CLAIMED								
PCT/EP2003/008266 26 July 2003  TITLE OF INVENTION METHOD FOR THE PRODUCTION OF AQUEOUS	7 September 2002 S POLYMER DISPERSIONS								
CONTAINING VERY FEW RESIDUAL MONOMERS AND USE THEREOF									
APPLICANT(S) FOR DO/EO/US Harmin Müller et al.									
Applicant herewith submits to the United States Designated/Elected Office (DO/EO/U	S) the following items and other information:								
1. x This is a <b>FIRST</b> submission of items concerning a submission under 35 U.S.C. 371.									
2. This is a <b>SECOND</b> or <b>SUBSEQUENT</b> submission of items concerning a submission under 35 U.S.C. 371.									
This is an express request to begin national examination procedures (35 U.S.C. 371 (f)). The submission must include items (5), (6), (9) and (21) indicated below.									
4. x The US has been elected (Article 31).									
5. x A copy of the International Application as filed (35 U.S.C. 371 (c)(2))									
a. x is attached hereto (required only if not communicated by the International Bureau).									
b. has been communicated by the International Bureau.									
c. is not required, as the application was filed in the United States Re	<b>├</b>								
6. x An English language translation of the International Application as filed									
a. x is attached hereto.									
b. has been previously submitted under 35 U.S.C. 154(d)(4).	<b>⊢</b>								
7. Amendments to the claims of the International Application under PCT A									
a. are attached hereto (required only if not communicated by the Inter									
b. have been communicated by the International Bureau.									
c. have not been made; however, the time limit for making such amendments has NOT expired.									
d. have not been made and will not be made.	d. have not been made and will not be made.								
8. An English language translation of the amendments to the claims under	An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371 (c)(3)).								
9. An oath or declaration of the inventor(s) (35 U.S.C. 371 (c)(4)).	An oath or declaration of the inventor(s) (35 U.S.C. 371 (c)(4)).								
An English language translation of the annexes of the International PreArticle 36 (35 U.S.C. 371 (c)(5)).	liminary Examination Report under PCT								
Items 11 to 20 below concern document(s) or information included:									
11. x An Information Disclosure Statement under 37 CFR 1.97 and 1.98.									
12. An assignment document for recording. A separate cover sheet in complia	nce with 37 CFR 3.28 and 3.31 is included.								
13. x A preliminary amendment.									
14. x An Application Data Sheet under 37 CFR 1.76.									
15. A substitute specification.									
16. A power of attorney and/or change of address letter.									
17. A computer-readable form of the sequence listing in accordance with PC	T Rule 13ter.2 and 37 CFR 1.821 - 1.825.								
18. A second copy of the published International Application under 35 U.S.	.C. 154(d)(4).								
19. A second copy of the English language translation of the international	application under 35 U.S.C. 154(d)(4).								
20. x Other items or information: Form PCT/RO/101, Form PCT/ISA/210 in 0	German, Form PCT/ISA/210 in English								

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U.S. APPLICATION NO. (if known, see 37 CER 1.5) . INTERNATIONAL APPLICATION NO.						AT	ATTORNEY'S DOCKET NUMBER			
PCT/EP2003/008266						09600-00029-US				
The following fees have been submitted								CALCULATIONS	PTO USE ONLY	
21. x Basic national fee\$300							\$	300.00		
22. x Examination fee										
If International preliminary examination report prepared by USPTO and all claims satisfy										
provisions of PCT Article 33(1)-(4) \$100 All other situations \$200						s	200.00			
	ch fee							Ť	=======================================	
Search fee (37 CFR 1.445(a)(2)) has been paid on the international application to the							the			
USPTO as an International Searching Authority										
					o the Office			s	500.00	
		TOTAL OF 21						s	1,000.00	
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					iled in an electroni r fraction thereof.	c medium).	ine ree is			
Total Sheets		dra sheets	Numb	er of e	ach additional 50 o		RATE	1		
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from the earliest						ห อง เกษกน	110	S		
CLAIMS		NUMBER F			MBER EXTRA	R	RATE			
Total claims	3	23 -	20 =		3	х	50.00	\$	150.00	
Independent cla			- 3 =			х		\$	0.00	
MULTIPLE DEP	ENDE	NT CLAIM(s)	(if applic			+		\$		
				TC	TAL OF ABOVE	CALCUL	ATIONS =	\$	1,150.00	
Applicant of	claims	small entity sta	itus. See	37 CI	FR 1.27. Fees abo	ve are redu	uced by 1/2.	\$		
SUBTOTAL =						\$	1,150.00			
Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492 (ft)).						s				
from the earliest claimed priority date (37 CFR 1.492 (f)). +  TOTAL NATIONAL FEE =					s	1,150.00				
Fee for recording the enclosed assignment (37 CFR 1.21 (h)). The assignment must be					\$					
accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property						\$	1 150 00			
TOTAL FEES ENCLOSED =						<u> </u>	Amount to be			
						re	funded:	\$		
								mount to be arged:	\$	
a. A check in the amount of S							h - · - *	_		
	eck in	the amount of	f \$ _			to cover th	ne above fees	ıs e	nciosed.	
b. X Please charge my Deposit Account No. 03-2775 in the amount of \$ 1,150.00 to cover the above fees. A duplicate copy of this sheet is enclosed.										
			•		• •					
c. x The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any										
overp	oayme	ent to Deposit	Account	No.	03-2775	A du <sub>l</sub>	plicate copy o	f this	s sheet is enclosed.	•
d. Fees are to be charged to a credit card. WARNING: Information on this form may become public. Credit card										
information should not be included on this form. Provide credit card information and authorization on PTO-2038.										
NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (87 CFR 1.137 (3) or (b)) must be filed and granted to restore the International Application to pending status.										
SEND ALL CORRESPONDENCE TO:										
SIGNATURE:  J. Clay Matthews										
	NAME							<del></del>		
						INMIVI	<b>L</b>			
CUSTOMER	NUN	/BER: 23416	3						,735	
REGISTRATION NUMBER										
L										



PTO/SB/17 (12-04v2)
Approved for use through 7/31/2006. OMB 0651-0032
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE to a collection of information unless it displays a valid OMB control number.

	, no person are required to	Complete if Known									
Effective on 12/08/2004 Fees pursuant to the Consolidated Appropriation	Application Number		Not Yet Assigned								
FEE TRANSM	Filing Date		Concurrently Herewith								
	First Named Inv	entor F	Harmin Müller								
For FY 200	5	Examiner Name Not Yet Assigned			ned						
Applicant claims small entity status.	See 37 CFR 1.27	Art Unit N/A									
TOTAL AMOUNT OF PAYMENT	(\$) 1,150.00	Attorney Docket	No. 0	09600-00029-US							
METHOD OF PAYMENT (check all t	hat apply)										
Check Credit Card N											
X Deposit Account Deposit Account Number: 03-2775 Deposit Account Name: Connolly Bove Lodge & Hutz LLP											
For the above-identified deposit a	account, the Director is	hereby authorize	ed to: (check	k all that apply)	)						
x Charge fee(s) indicated be	ow	Charge	e fee(s) indi	cated below, e	xcept for the	e filing fee					
X Charge any additional fee(s) or underpayment of fee(s) under 37 CFR 1.16 and 1.17											
FEE CALCULATION											
1. BASIC FILING, SEARCH, AND EXAM	MINATION FEES	,									
		ARCH FEES	EXAMIN	ATION FEES	;						
Application Type Fee (\$)	Small Entity Fee (\$) Fee (\$	Small Entity Fee (\$)	Fee (\$)	Small Entity Fee (\$)	Fees Pa	aid (\$)					
Utility 300	150 500	250	200	100	1,000						
Design 200	100 100	50	130	65							
Plant 200	100 300	150	160	80							
Reissue 300	150 500	250	600	300							
Provisional 200	100 0	0	0	0							
2. EXCESS CLAIM FEES	100 0	V	U	O		mall Entity					
Fee (\$)											
Fee Description Each claim over 20 (including Reissues)  50 25											
Each independent claim over 3 (including Reissues)  200 100											
Multiple dependent claims					360	180					
Total Claims Extra Claims	Fee (\$) Fee F	Paid (\$)	Mu	Itiple Depende	ent Claims						
2320 =3x	50.00 = 15	0.00			Fee Paid (\$)						
	Fee (\$) Fee F	Paid (\$)		<del></del>		-					
2 -3 = × _	<del></del> -	<del></del>									
3. APPLICATION SIZE FEE  If the specification and drawings exceed 100 sheets of paper (excluding electronically filed sequence or computer listings under 37 CFR 1.52(e)), the application size fee due is \$250 (\$125 for small entity) for each additional 50 sheets or fraction thereof. See 35 U.S.C. 41(a)(1)(G) and 37 CFR 1.16(s).											
Total Sheets Extra Sheets		dditional 50 or frac	tion thereof	Fee (\$)	Fee P	aid (\$)					
29 - 100 =	/50				=	4.0.147					
Non-English Specification, \$130 fee (no small entity discount)											
Other (e.g., late filing surcharge): 1631 Basic National Stage fee 300.00											
1633 National Stage Examination Fee - all other 200.00											
1632 National Stage Search Fee - all other situations 500.00											
1615 National Stage claims - extra total (over twenty) 150.00											
SUBMITTED BY											
Signature Registration to. 50,735 Telephone (302) 658-9141											
Name (Print/Type) J. Clay Matthews	Date 3/7/05										



Application No. (if known): Not Yet Assigned

Attorney Docket No.: 09600-00029-US

## **Certificate of Express Mailing Under 37 CFR 1.10**

I hereby certify that this correspondence is being deposited with the United States Postal Service as Express Mail, Airbill No. EV473532142US in an envelope addressed to:

MS PCT Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Wendy Ketcham

Typed or printed name of person signing Certificate

Note:

Each paper must have its own certificate of mailing, or this certificate must identify

each submitted paper.

Transmittal Letter to the United States Designated-Elected Office

Fee Transmittal

**Application Data Sheet** 

Copy of the International application

Form PCT/RO/101

Form PCT/ISA/210 in German Form PCT/ISA/210 in English

English language translation of the International application Information Disclosure Statement, SB08, with references

**Preliminary Amendment** 

Postcard

Charge \$1,150.00 to deposit account 03-2775